

1741

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Doc t No.
JP9-1999-0277-US1

In R Application Of: Nakamot and Yamada

MAY 07 2002

3
6/6/02

Serial No.

09/681,712

Filing Date

May 24, 2001

Examiner

Unknown

Group Art Unit

1741

PATENT & TRADEMARK OFFICE

Title: METAL PLATING APPARATUS AND PROCESS

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

RECEIVED
MAY 9 2002
TC 1700

COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
JP9-1999-0277-US1

In Re Application: Nakamot and Yamada

MAY 07 2002

PATENT & TRADEMARK OFFICE

Serial No.
09/681,712

Filing Date
May 24, 2001

Examiner
Unknown

Group Art Unit
1741

METAL PLATING APPARATUS AND PROCESS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of _____
 Credit any overpayment.
 Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited April 26, 2002, with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

C. McELLEN

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Robert A. Walsh, Reg. No. 26,516
IP Law Department, 972E
IBM Corporation
1000 River Street
Essex Junction, VT 05452
Telephone: 802-769-9521

Dated:

4/25/2002

RECEIVED
MAY 9 2002
TC 1700

COPY OF PAPERS
ORIGINALLY FILED

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicant: Nakamoto and Yamada
Serial No.: 09/681,712

Filed: May 24, 2001

Title: METAL PLATING APPARATUS AND PROCESS

Art Unit: 1741
Examiner: Unknown
Atty. Docket: JP9-1999-0277-US1

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.56, 1.97, 1.98

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicants submit herewith form PTO-1449, listing patents, publications, or other information of which they are aware which they believe may be material to patentability pursuant to 37 CFR 1.56(b), and in respect of which there may be a duty to disclose under 37 CFR 1.56(a), together with legible copies of the patents, publications, or other information listed.

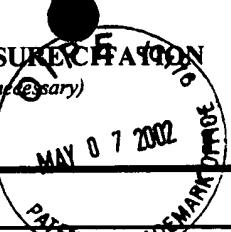
While the items submitted with this Information Disclosure Statement may be material to patentability pursuant to 37 CFR 1.56, in accordance with 37 CFR 1.97(h) it shall not be construed to be an admission that any patent, publication, or other information cited is "prior art" or is material to patentability for this invention unless specifically designated as such. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other information material to patentability, as defined in 37 CFR 1.56(b), exists.

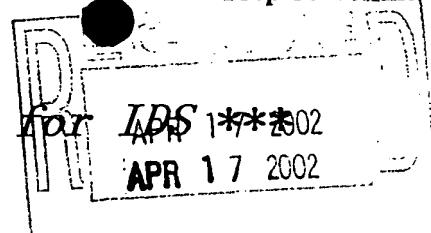
Respectfully submitted,

Date: 4/25/2002

By: Robert A. Walsh

Robert A. Walsh, Reg. No. 26,516
IP Law Department
IBM Corp.
1000 River Street
Essex Junction, VT 05452
Tel.: 802-769-9521

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) JP9-1999-027 S1	Application Number 09/681712	
				Applicant(s) J hji Nakamoto and Tatsuji Yamada		
				Filing Date 05/24/2001	Group Art Unit 1741	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
FILING DATE IF APPROPRIATE						
 RECEIVED MAY 9 2002 TC 1700 COPY OF PAPERS ORIGINALLY FILED						
FOREIGN PATENT DOCUMENTS						
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						YES
	PUPA07-228992	29/08/1995	JAPAN			
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>						
EXAMINER				DATE CONSIDERED		

***** Information Materials**

To IP Law Docket Number JP9-1999-0277 Date Mar. 27/2002

Prepared by Masanori Suzuki Date of JPO Office Action Mar. 19/2002

Applied Art (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A				
B				
C				
D				
E				
F				

<NOTE>

◇ PUPA : Published Unexamined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ JP : Japanese Patent

◇ * : Reference being filed before and published after the priority application date of the subject docket

to be continued

◇ PEPA : Published Examined Patent Application

◇ PEUMA : Published Examined Utility Model Application

Background Art (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA	PUPA07-228992	bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued